



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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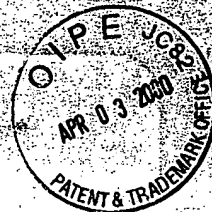
APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO./TITLE

09/457,914 12/10/99 CARONNNI

G 06502,0289

0232/0113

FINNEGAN HENDERSON FARABOW GARRETT &  
DUNNER LLP  
1300 I STREET NW  
WASHINGTON DC 20005-3315



NOT ASSIGNED

2739

DATE MAILED:

01/13/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is **\$1,574**

☒ The statutory basic filing fee is:

- ☐ Missing  
☐ Insufficient

Applicant must submit **\$760** to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ The following additional claims fees are due:

**\$450** for **25** total claims over 20.

**\$234** for **3** independent claims over 3.

**\$** for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ The oath or declaration:

☒ is missing or unsigned

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

HECKER F. F. SURCHA

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A **\$50.00** processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the **\$130.00** set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 800-1002

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02 FC:103  
03 FC:102

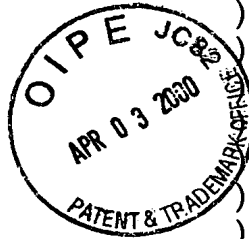
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Germano CARONNI et al.

Serial No. 09/457,914

Filed: December 10, 1999



Group Art Unit: 2782

Examiner: Not Assigned

For: SYSTEM AND METHOD FOR ENABLING SECURITY  
IN A VIRTUAL PRIVATE NETWORK

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the communication of January 13, 2000, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, the filing fee of \$690.00, \$450.00 for 25 total claims over 20, \$234 for 3 independent claims over 3, and a copy of the Notice of Missing Parts.

Please associate the enclosed declaration with the above identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: April 3, 2000

By:

Matthew A. Kaminer  
Reg. No. 44,379



Section

PATENT

Attorney Docket No. 6502.0289-00

115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )

Germano CARONNI et al. )

Serial No. 09/457,914 )

Group Art Unit: 2782

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For: SYSTEM AND METHOD FOR ENABLING SECURITY  
IN A VIRTUAL PRIVATE NETWORK

Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR EXTENSION OF TIME

Sir:

Applicants hereby petition for a one month extension of time to respond to the  
Notice of Missing Parts dated January 13, 2000.

A check in the amount of \$110.00 is enclosed to cover the cost of the extension  
of time.

Please grant any extensions of time required to enter this response and charge  
any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: April 3, 2000

By: Matthew A. Kaminer

Matthew A. Kaminer  
Reg. No. 44,379

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202-408-4000

04/05/2000 CV0111 0000025 09457914 110.00 02 FC:115